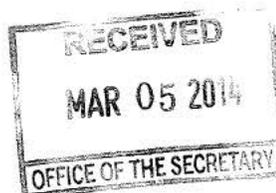


Ronald G. Anglin

[REDACTED]
[REDACTED]

Administrative Proceeding
File # 3-15648



U.S. Securities and Exchange Commission

Vs.

Answer of Ronald G. Anglin

Ronald G. Anglin, Respondent

I, Ronald G. Anglin, Respondent in pro se requests that this document serves as an answer to the administrative proceedings associated with file number 3-15648.

From approximately September 2008 to May 2011, Ronald G. Anglin was a registered representative with Merrill Lynch, as well as, the Bank of America Corporation. During the approximate period of time from September 2008 to May 2001 working under the title of financial advisor, compensation was derived from securities transactions with no provisions allowing for assessment of fees for guidance, advise, or planning which would be a necessity to serve as an investment advisor representative.

On August 23, 2012 during a lengthy period of hospitalization Ronald G. Anglin signed legal documents resulting in a guilty plea for one count of mail fraud. The consensus of medical opinion of the oncology/hematology department at the time, as well as, currently is that increased levels of stress could exacerbate Ronald G. Anglin's medical condition and negatively impact treatment. The consensus of legal opinion was that medically a trial was not feasible and that financially further legal defense was not financially feasible. As a result of the guilty verdict, a sentence of 3 year's probation including 27 months home detention and restitution of \$73,000 was ordered.

Through a review of the case The United States vs. Ronald Gene Anglin 2:21-CR00232-SJO different legal counsel stated that a new trial would be possible in which defenses can be asserted that could with a reasonable degree of certainty nullify the March 25, 2013 sentencing. Actions are current and ongoing to facilitate this end result.

I, Ronald G. Anglin, respectfully request that the U.S. Securities and Exchange Commission through Judge Carol F. Foelak rule against further action and grant a dismissal of proceedings. Limitations from leukemia, as well as, restrictions from federal sentencing already effectively bar financial industry employment or affiliation. Additional sanctions would be double jeopardy as multiple punishments accessed for a single offense that is currently being pursued for dismissal. Although the proceedings are administrative they have the same potential to threaten "life or limb" as criminal proceedings due to a well-documented, complex oncology history and associated medical complications.

A handwritten signature in black ink, appearing to read 'Ronald G. Anglin', with a large, stylized flourish extending to the right.

Ronald G. Anglin